



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/697,917	10/27/2000	Toshiyuki Arai	7217/62205	3856
7590 07/25/2005			EXAMINER	
JAY H. MAIOLI			FABER, ALAN	
Cooper & Dunham LLP 1185 Avenue of the Americas			ART UNIT	PAPER NUMBER
New York, NY 10036			2651	
			DATE MAILED: 07/25/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) **Advisory Action** 09/697,917 ARAI ET AL. Before the Filing of an Appeal Brief Examiner Art Unit Alan Faber 2651 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 05 July 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. Me The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: a) The period for reply expires <u>3</u> months from the mailing date of the final rejection. b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL _. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date The Notice of Appeal was filed on ____ of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). 3. X The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: See attachment. (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. X For purposes of appeal, the proposed amendment(s): a) X will not be entered, or b) W will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: 14,29 and 40. Claim(s) rejected: 1-5,7-13,15-22,24-28,30-39,41-48 and 50-53. Claim(s) withdrawn from consideration: 6,23 and 49. AFFIDAVIT OR OTHER EVIDENCE 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. 🔀 The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See attachment.

Alan Faber Primary Examiner Art Unit: 2651

13. Other:

12. Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s).

Application/Control Number: 09/697,917 Page 2

Art Unit: 2651

1. The proposed claim amendments drawn to claim 1 ("while new input data is being processed"), claim 18 ("concurrently with the reproducing of the data"), claim 33 ("can be different data"), claim 50 ("while new input data is processed"), claim 51 ("concurrently with the reproducing"), and claim 52 ("can be different data") raise new issues requiring further search and consideration be the examiner and will not be entered.

- 2. Note that claim 21 improperly depends from claim 53. See Rule 75(c). The dependent claim should depend on a prior claim.
- 3. Applicants' contention that Figure 30 and page 50 fully disclose the new matter is incorrect. The outputs are not independent of the inputs.
- 4. Applicants' contention that Du fails to show concurrent conversion and reproduction is incorrect. Two conversion states are illustrated (compressed and uncompressed, as well as different disk formats, during reproduction.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alan Faber whose telephone number is (571) 272-7553. The examiner can normally be reached on flextime (M-F).

Application/Control Number: 09/697,917 Page 3

Art Unit: 2651

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Hudspeth can be reached on (571) 272-7843. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Alan Faber

Primary Examiner

Art Unit 2651
